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Serial No: 09/848,931

Attorney Docket No: 10251-037

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

SUPPLEMENTAL  
INFORMATION  
DISCLOSURE  
STATEMENT

Application of : Robert J.FEILBOGEN, et al.  
Serial No. : 09/848,931  
Filing Date : May 4, 2001  
Entitled : METHOD AND SYSTEM FOR  
INITIATING AND CLEARING TRADES  
Group Art Unit : 2163

I hereby certify that this paper is being deposited this date with the U.S. Postal Service as first class mail addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Silvia Salvadori

Name of person signing the certification

Silvia Salvadori  
Signature

November 19, 2002  
Date

Assistant Commissioner for Patents  
Washington, D.C. 20231

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GROUP 3600

Sir:

Pursuant to 37 C.F.R. § 1.56 Applicants submit herewith a PTO-1449 form and a copy of the reference listed thereon.

It is requested that the reference cited in the accompanying PTO-1449 form be considered herein. The reference represents the equivalent U.S. Issue Patent of the International Publication Number WO 00/77709 which applicants submitted in an Information Disclosure Statement filed July 24, 2001.

This Supplemental Information Disclosure Statement is filed before the filing of a first Office Action on the merits, therefore no fee is required.

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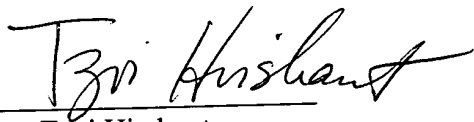
Attorney Docket No: 10251-037

If any fee is due, please charge Deposit Account 16-2500.

Respectfully submitted  
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Date: November 18, 2002

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Enclosures: International Search Report; PTO-1449; 1 Reference

